

# Information homeostasis as a fundamental principle governing the cell division and death

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## Abstract

To express the genetic information with minimal error is one of the key function of a cell. Here we propose an information theory based, phenomenological model for the expression of genetic information. Based on the model we propose the concept of ‘information homeostasis’ which ensures that genetic information is expressed with minimal error. We suggest that together with the energy homeostasis, information homeostasis is a fundamental working principle of a biological cell. This model proposes a novel explanation of why a cell divides and why it stops to divide and, thus, provides novel insights about oncogenesis and various neuro-degenerative diseases. Moreover, the model suggests a theoretical framework to understand cell division and death, beyond specific biochemical pathways.

## Introduction

Why does a cell divide? The textbook explanation is that a cell divides to keep its volume to surface area ratio constant. However, this simple metabolism based argument for cell division fails to explain why, for instance, cancer cells continue to divide. Multiple biochemical pathways and signalling cues have been identified that initiate cell division [1] and apoptosis [2]. However, these mechanisms only explain ‘how’ a cell decides to divide or not, and fail to provide an answer the question ‘why’ a cell must divide, particularly when it is part of a larger cell population (e.g. a tissue). Although, the idea of maintaining the surface to volume ratio does not explain ‘how’ a cell divides but it is very powerful in providing a reason for cell division. The idea of maintaining volume to surface area ratio

constant, is a single cell based mechanism and it is possible that when a cell is a part of a multi-cellular environment, new general and simple rules emerge to govern cell division and apoptosis. A conceptual understanding of the process of cell division is necessary not only to unify the diverse biochemical and signalling mechanisms governing cell division and apoptosis but also to understand the mechanisms underlying various cancers and degenerative diseases.

Here, we consider a cell (isolated or part of a multi-cellular environment) as a system that strives to express the genetic information it carries in its nucleus, depending on its lineage and environmental cues. Clearly a cell performs many tasks when part of a tissue, still, most of the tasks performed by a cell require expression of specific genes. Specifically, we draw an analogy between a typical electrical communication system and a biological tissue. Using a phenomenological model of a genetic information expression we introduce the concept of 'information homeostasis' for a cell population (e.g. tissue or organ) as one of the fundamental principle governing cell functioning in a multi-cellular environment. We argue that cell division or death is a way to maintain 'information homeostasis' in a tissue/organ and hypothesize that disturbances in the 'information homeostasis' underlie uncontrolled cell division or apoptosis in a tissue.

## Information theory based model of flow of genetic information

Before describing our model of expression of genetic information we give a brief introduction of a digital communication system. This is necessary to introduce few terms and a basic result of the communication theory.

### A brief introduction to digital communication system

In a digital communication system, there are three main components: a source, which has something to transmit e.g. text data, a channel through which the information from the source is transmitted e.g. a set of optical fibres, and a receiver e.g. a computer with appropriate interface to the optical fibres (fig. 1A). The source has a certain capacity to generate/transmit information (source capacity  $S$ ). Similarly, the data transmission properties of the channel are quantified as the channel capacity ( $C$ ). Typically, the source and channel capacity are expressed as bits/s. The second theorem of Shannon puts an upper bound on the source capacity, given a channel, to ensure an error free transmission

[3]. According to this theorem for a given channel, when the source capacity is less than or equal to the channel capacity (i.e.  $S \leq C$ ) [theorem 11 in ref. [3]] the information flows without error. Thus, to ensure error-free transmission, if the source capacity is increased, for some reason, then it is important to increase the channel capacity accordingly. The channel capacity can be increased by changing the channel itself, for example optical fibres have greater channel capacity than the copper wires. Additionally, by laying down more channels and dividing the source information over each channel according to the individual channel capacity, overall capacity of the channel can be increased. Both solutions are popular in the communications industry. However, once a particular channel is chosen, it is simpler to increase the number of channels to increase the overall channel capacity.

### **Cell as an information transmission system**

In a multi-cellular organism, although each cell harbors a complete copy of the organism's genome, it expresses only a small subset of the genomic information into proteins depending on the cell type, its lineage and environmental cues [4]. In the following we draw an analogy between the flow of genetic information from DNA to proteins, to the information transmission in a digital communications system (fig. 1B) [3].

### **Source and source capacity**

The DNA in the nucleus of the cell is the source of information in the cell. Functional specialization of cells in a multi-cellular organism implies that only a small subset of the whole genome is active in each cell. In fact, cell specific expression of genes starts very early in the embryo [4]. The set of active genes in the cell determines the source capacity  $S$  of a cell in a multi-cellular environment. That is,  $S$  depends on the distribution of nucleotide bases and amino-acids that are necessary to express the active genes.  $S$  is a dynamic entity and environmental cue dependent activation/inactivation of genes, change in proteosomal activity, and developmental stage of a cell can alter  $S$ . In multi-cellular organisms individual source capacities sum up to the source capacity of the whole genome.

### **Source and source capacity**

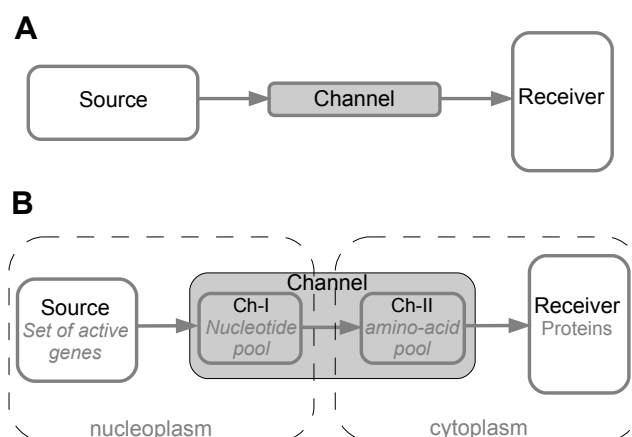
The expression of genetic information requires two well described steps i.e. 'transcription' and 'translation', which take place in nucleoplasm and cytoplasm, respectively. Cytoplasm also acts as the receiver of the genetic information in the form of proteins (fig. 1B). Thus,

nucleoplasm and cytoplasm make a two stage channel for the transmission of genetic information. The nucleotide pool (**N-pool**) in the nucleus acts as a channel for the process of ‘transcription’ and, the amino-acid pool (**AA-pool**) constitutes the channel for the process of ‘translation’. Thus, genetic information flows from genes to mRNA through the pool of nucleotides (**N-pool**, **Ch-I**) and from mRNA to proteins through the pool of amino-acid (**AA-pool**, **Ch-II**). The channel capacity  $C_1$  of the **N-pool** is dependent on the composition of nucleotides in the **N-pool**. Similarly, the channel capacity  $C_2$  of the **AA-pool** is dependent on the composition of amino-acids in the **AA-pool** (see below for details). Because the genetic information flows in a serial fashion over the **Ch-1** and **Ch-II**, the effective channel capacity  $C_{\text{eff}}$  is equal to  $\min(C_1, C_2)$ .

### Information homeostasis: Conditions for an error-free expression of genetic information

In a noisy information transmission channel to ensure an error free transmission of information, channel capacity should be greater than or equal to the source capacity i.e.  $S \leq C_{\text{eff}}$  [3]. Because genetic information transmission is largely error free [5–7] we can assume that in the cell Shannon’s second theorem holds true [3]. Thus, in a healthy multi-cellular organism every cell is in an ‘information homeostasis’ and the  $S$  and the  $C_{\text{eff}}$  are adjusted to ensure transmission/expression of the genetic information with minimum error [5–7].

Because cell division creates additional **AA-pool** and **N-pool** we hypothesize that it adds new channels ( $C_1$  and  $C_2$ ). However, such new channels would be effective only when the information load of a cell population can be redistributed over new channels. Here, the asymmetric cell division is particularly important because such division could be used as a mechanism to redistribute the information load differentially between the daughter cells [8–10]. Thus, the asymmetric cell division can be regarded as a mechanism to increase the  $C_{\text{eff}}$ . Similarly, cell death, because it removes existing channels, would result in a reduction in the  $C_{\text{eff}}$ . We suggest that continuous cell division and death is a mechanism by which ‘information homeostasis’ is maintained in a cell population. Thus, according to this model, besides the energy homeostasis, a healthy cell, as a part of a cell population, also maintains its information homeostasis.



**Figure 1. Phenomenological model of flow of genetic information.** (A) Schematic of a digital communication system. (B) An information theory based reduced model of flow of genetic information from DNA to proteins. The DNA in the nucleus of the cell is the source of information. The source capacity is determined by the set of active genes. The genetic information is transmitted over a two stage channel. The first stage involves the nucleotide pool ( $Ch - I$ ) and through the process of transcription mRNAs are formed. This intermediated stage of the information is sent to the cytoplasm and uses the amino-acid pool. The process of translation is carried out in the second stage ( $Ch - II$ ) of the channel and results in proteins.

### N-pool and AA-pool composition as an indicative of the channel capacity

In the ‘transcription’ process, in a statistical sense, the task the cellular machinery has to solve is to find a complementary nucleotide base corresponding to the one in the gene being transcribed, in a limited time. Thus, the ease with which a complementary nucleotide can be found is related to the channel capacity of the **N-pool**. In statistical terms, when the distribution of the nucleotide bases in the nucleus (composition of **N-pool**) is matched with the distribution of nucleotide bases in the active genes, it would be the easiest to find a complementary nucleotide base. Cellular machinery solves a related problem in the cytoplasm during the process of ‘translation’ and likewise, the ease with which a *tRNA* can find a matching amino-acid is associated with the channel capacity of the **AA-pool**. Thus, distribution of free nucleotides in the nucleus and amino-acids in the cytoplasm is an indicative of the channel capacity of a cell.

Because a cell is able to replicate its genome during in cell division, we assume that the distribution of the nucleotide base in the nucleus is optimal for the genome. However,

note that, cell division and replication of the genome is not same as the flow of genetic information from genes to proteins, it is possible that nucleotide distribution optimal for genome replication is sub-optimal for a given set of active genes. This is not the case with the **AA-pool** and thus, we argue that the composition of the **AA-pool** (distribution of the amino-acids in the cytoplasm) is more critical for the flow of genetic information.

In summary, the information homeostasis is a state in which the distribution of nucleotides in the nucleus and amino-acids in the cytoplasm is closely matched with the nucleotide and amino-acid requirements of active genes in the cell.

## Altered information homeostasis in cancer and cell degeneration

### Cancer as a mechanism of increasing the channel capacity

Several lines of investigation suggests an increase in the source capacity in cancer cells [11,12]. Onset of cancer in a tissue is associated with genetic mutations either in a single cell or multiple cells [13] followed by activation of new genes (e.g. oncogenes) and/or increased expression of already active genes [11, 12, 14, 15]. In fact, each cancer cell may contain thousands of mutations at any give point in time [16]. Furthermore, aneuploidy, a state in which usually a cell harbours multiple copies of same chromosomes, is a common feature of virtually all types of cancers [17,18]. Aneuploidy can increase the information overload by introducing multiple copies of active genes of a cell.

When mutating agents and malfunctions such as aneuploidy alter the source capacity such that the existing **AA-pool** is not appropriately tuned to express the altered demand and/or set of active genes with certain minimum error rate [6,7], the information homeostasis is disturbed. Indeed, increase in transcription and translation errors can cause multiple types of cancers [19,20].

To correct for the altered information homeostasis the cells can either update the composition of the **AA-pool** or can divide to introduce a parallel channels to distribute the information overload. In a mature cell population, cell division and introduction of a parallel information channel is a simpler strategy to restore the information homeostasis. It is important to have asymmetric cell divisions in a state of altered information homeostasis to redistribute the increased information load. It is interesting to note that the cellular machinery that promotes asymmetric cell division also plays a role in tumour suppression [8,21]. If the mutating agents activate a class of genes that cannot be optimally expressed by a certain type of specialized cells, we hypothesize that the cells would enter

in a state of continuous division in an attempt to restore the information homeostasis, resulting in a cancerous tissue.

It is also possible that besides the genetic mutations and increase in source capacity, in cancer cells the composition of the **AA-pool** is altered, resulting in more ‘translation’ errors and altered information homeostasis. That is, carcinogens can also induce cancer phenotype by reducing the channel capacity. Thus, according to our model, altered composition of the **AA-pool** can also initiate uncontrolled cell division. Therefore, a comparison of the **AA-pool** composition of a cancer cell and a healthy cell from the same tissue could provide a good test of our model.

In both scenarios, our model suggests that in cancer cells there should be more translation errors which can be observed in the form of increased concentration of *mRNAs* (those which could not find a matching amino-acid). The model also suggests that killing the malignant cells is not an optimal therapeutic measure against cancer, because the malfunction is not cell specific, rather it is a malfunction of a population of cells. An optimal strategy, according to the model, to control cancer is to match the composition of nucleotide and amino-acid pools so that genetic information can be expressed with acceptable error rate.

In the above we have emphasized on the role of the **AA-pool**. In addition, the **N-pool** which forms the first stage of the genetic information flow, could also change the channel capacity and alter the ‘information homeostasis’ (fig. 1B), as long as the altered **N-pool** is sufficient to support cell division. In fact, a recent work suggests that nucleotide deficiency can cause cancer [22].

## Indications of onset of cancer

Based on our hypothesis we suggest that following indications that can be used to predict onset of cancer.

- *Sudden increase in the set of active genes in a cell population:* Because this will directly change the source capacity.
- *Altered composition of **AA-pool**:* Because this will change the channel capacity.
- *Malfunction in the error correction machinery in the cells:* Errors in gene expression is an indicative of altered information homeostasis. Therefore, even when there is no change in source and channel capacity, increase in gene expression error would indicate altered information homeostasis and initiate cell division.

- *Breakdown in mechanisms that drive asymmetric cell division:* Once the information homeostasis is disturbed, cell division can restore it only if the information load can be redistributed over new cells. Asymmetric cell division is a prominent mechanism that can redistribute the information load. So, break down of asymmetric cell division would prevent restoring of the information homeostasis.

## Cell degeneration as a mechanism of decreasing the channel capacity

Our model can also provide a potential explanation for cell degeneration in a tissue. Cell death effectively takes out an information channel from a tissue and from the perspective of expression of genetic information, reduces the channel capacity of a cell population. We hypothesize that in a cell population undergoing uncontrolled degeneration information homeostasis is disturbed due to decreased source capacity. Thus, we hypothesize that in such a degenerating cell population a large fraction of active genes may have gone silent. This could also occur due to the change in the environmental cues such that only few cells are sufficient to express its active genes in required demand. Maintaining cells requires energy and it is more efficient to kill a cell if it is not required to express any information or if the energy cost of information has exceeded a certain limit. A test of this hypothesis could be that in a cell population undergoing degeneration there should be less active genes or other mechanism which suppress the gene expression, should be hyperactive. Indeed, in Alzheimer's disease there is general reduction in gene expression in CA1 region of the brain [23]. Similarly, in amyotrophic lateral sclerosis more genes are down-regulated than up-regulated [24]. Moreover, the up-regulated are the ones that play a role in cell death. Furthermore, down-regulation of a key protein 'parkin' precedes death of affected neurons in cerebral ischemia, and up-regulation of this gene can prevent the neurons from dying [25]. In general there is a reorganization of gene expression in the cells of a degenerating tissue (e.g. in Huntington's disease [26]). However, simple estimate of up and down-regulated genes is not sufficient to conclude decrease in source capacity in degenerating tissue. More systematic analysis of the amino-acid requirements of up and down-regulated genes would be necessary to validate or refute our hypothesis.

## Evaluation of the model

Although, our phenomenological model gives a description of the cell function beyond the exact details of the biochemical interactions, yet, we can make testable prediction to



validate or refute our hypothesis.

1. One of the explicit test of the model would be to check if increasing the number of active genes in a cell-population makes it divide at a higher rate than without the newly activated genes. Alternatively, it would be important to quantify the effect of altering the composition of the pool of amino-acids and nucleotides on the fate of the cell population.
2. Experimental observation of tissue specific **AA-pool** composition would support our model. Cells in different tissues express different sets of the genes depending on the environmental cues and active genes [4]. In order to maintain information homoeostasis each cell should have an optimal composition of the **AA-pool**, different from cells of other tissues.
3. Our model predicts that information homoeostasis is altered in the cancer cells such that the source capacity is larger than the channel capacity. This means that in cancer cells there should be a higher proportion of mRNAs which could not find a matching amino-acid. Previous work already provides some support for this prediction [27]. A high count of defective ribosomal product [28] in cancer cell compared to healthy cells would be consistent with our model.
4. Using the model, we also predict a significant change in the composition of the **AA-pool** in cancer and healthy cells from same tissue. It is not possible to comment on how specifically the **AA-pool** composition has to be changed and this can only be determined by careful experiments.
5. In benign tumours, according to our model, initially there should be an imbalance of information homeostasis which gets balanced by subsequent division. Thus, the existence of benign tumours is an evidence in favour of our model.
6. In cell population undergoing uncontrolled degeneration, we predict that there should be an increase in the activity of gene suppressing agents, because according our model in degenerating tissue source capacity decreases significantly compared to the channel capacity.

## Discussion

Based on a striking similarity between a typical digital communications system and flow of genetic information from DNA to proteins, here have we proposed an information theory based model of transmission of genetic information (fig.1). We introduce the term 'information homeostasis' which ensures that genetic information is expressed with minimal error rate and refers to a state of cell in which the composition of **N-pool** and **AA-pool** is optimally matched to the requirements of the active genes in a cell ( $S \leq C_{\text{eff}}$ ). Using this model, we argue that together with the 'energy homeostasis', 'information homeostasis' is a fundamental working principle of the biological cells.

The model suggests that cell division is a strategy to increase the channel capacity by introducing additional information channel i.e. each new cell is a potential new channel for expression of genetic information. Similarly, cell death is a way to reduce the channel capacity. Thus, our model suggests that cell division and cell death are mechanisms of maintaining the information homeostasis in a given cell population. The model also provides novel insights about the uncontrolled cell division and cell death and makes testable predictions that can validate (or refute) the model. Finally, our model puts cell division/death in a broader contexts of error-free expression of genetic information and offers an answer to the question why cells divide in an otherwise stable multicellular environment such as a tissue. We note that this hypothesis does not explain why a cell in a normal tissue, undergoing normal cell cycle divides. Our hypothesis is an attempt to develop a conceptual framework to understand increased cell division or cell death in a cell-population.

Beyond cancer and degenerative diseases the model can be used to understand several related phenomena such as the organ size. When part of a mature liver is surgically removed, the organ regains its full size. Often the 'total-mass checkpoint' hypothesis is invoked to explain this phenomenon [29]. We think that this phenomenon reveals something about the information homeostasis that a liver cell-population is supposed to maintain. In a state when the gene expression determined by the environmental cues is largely error-free the cell division and cell death are balanced to maintain the size of the organ. However, when a part is removed, the overall capacity of the cell-population is reduced and cell divisions start to create additional channels to express the required genetic information, and such cell division stops when information homeostasis is regained. Thus, information hypothesis may also provide insights about the process of organ size maintenance.

Our phenomenological model can also provide a framework to understand the similarities between the embryo and a cancer cell. Intriguingly, there are multiple similarities between the embryo and a cancerous tissue such as damaged DNA, common trigger for deprogramming, and the cessation of informational signalling [30]. Here, we suggest that there is a more fundamental similarity between a cancerous tissue and the embryo in terms of genetic information overload. In the framework of our model the embryo is also in a state of altered information homeostasis. The embryo has a lot of genomic information that cannot be expressed by a single cell. Therefore, subsequent divisions (which are generally asymmetric) of embryo and the emergent differentiation of daughter cells can be viewed as a process of maintaining the information homeostasis by distribution of genetic information expression over new channels. Here we do not mean to suggest that embryo and cancer cells are alike, but the similarities between the two are conspicuous and go beyond sharing of specific biochemical signalling. Activation of embryonic genes in the cancerous cells [30] suggest that these genes have some similarity with the oncogenes in terms of their requirements of nucleotides and amino-acids, which drives the cells into an information overload. Thus, we also suggest that some oncogenes would be active in the embryonic cells. A systematic analysis of the statistical properties of genes would be needed to identify such similarities among embryonic genes and oncogenes.

In summary, here we have proposed the hypothesis of 'information homeostasis' which we think is one of the general principles governing the cell division in a multi-cellular organism. This hypothesis makes several testable predictions and provides a conceptual understanding of different malfunctions related to altered cell division and apoptosis.

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